Corporate Actions

End of day file

allfunds

connect

Overview

Upgrade the CA service delivery with the brand new "End-of-Day files" for corporate events and dividends. Both files collect the events with impact to the client captured throughout the day and have a daily frequency dissemination. This new service allows the client to fully automate the Corporate events capture, thus increasing efficiency and security.

There are two options for the delivery of the file:

- · Via email in .xlsx format.
- · Via FTP in .csv format, using # as the field separator.
- · Via EDITRAN in .csv format, using # as the field separator

Field specifications

The following pages present a glossary containing the definition of key terms related to the type of events included in new End-of-Day file, along with a matrix specifying the relevant fields that should be checked depending on the type of event.

As for the file delivery formats, the below samples include both the corporate events and dividends files:

Corporate Events files samples:

- https://allfunds.com/files/exceles/EoD_Email_sample.xlsx

- https://allfunds.com/files/exceles/EoD_FTP_Sample.xlsx

- https://allfunds.com/files/exceles/EoD_EDITRAN_Sample.xlsx

Dividends files samples:

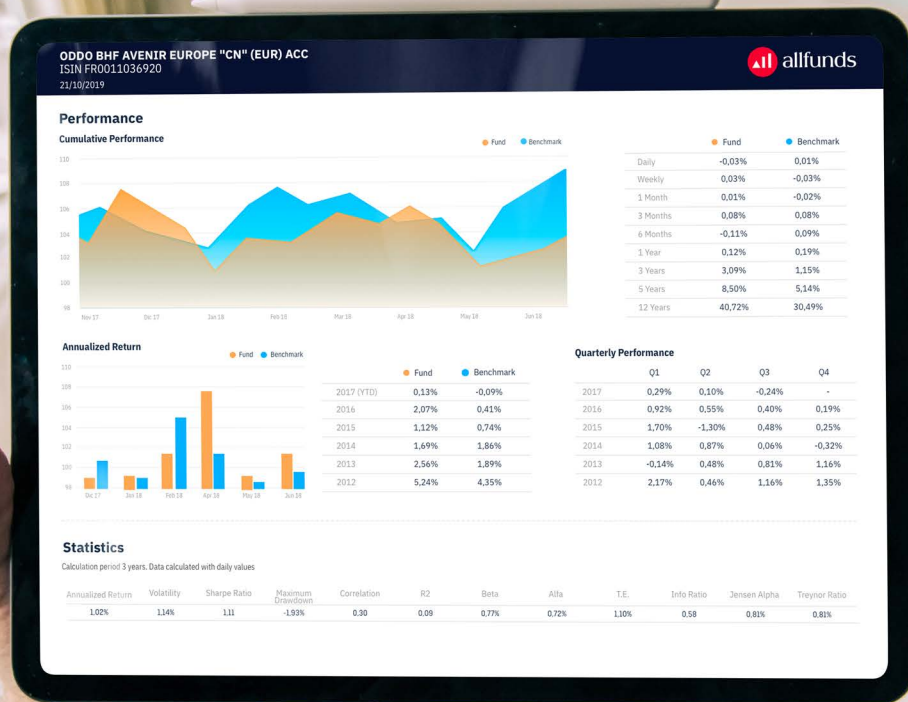- https://allfunds.com/files/exceles/EoD_Email_sample_dividends.xlsx

- https://allfunds.com/files/exceles/EoD_FTP_Sample_dividends.xlsx

- https://allfunds.com/files/exceles/EoD_EDITRAN_Sample_dividends.xlsx

Matrix by Type of Event

The below matrix allows the client to easily check what columns will be filled out depending on the type of event:

https://allfunds.com/files/exceles/Matrix.xlsx

Corporate Events key terms

Field name	Field Type	Permitted Values	Field Definition
Isin	String (12)		International Securities Identification Number (ISIN) of the share-class (e.g. LU0000000000).
Holdings	Boolean	Y / N S / N	Informs if the client has investments in the unit class. S/N in case of Spainish lenguage option.
Reference	Integer (5)		Unique reference code assigned to every official notice to shareholders. Incremental numeric value (i.e. 20837,20836,20837,…).
Type of action	Integer (1)	1 / 2 / 3	1: First insertion of the event 2: Modification of the event 3: Deletion/Cancellation of the event"
Event code	Integer (2)		Every Corporate Event type has a numeric value assigned (i.e. AGM = 1). Check the Matrix below to find about what code corresponds with the Event Description.
Event description	String (100)		Event type short description.
Event key	Integer		Unique reference code assigned to every single event within a specific official notice to shareholders and its corresponding reference. The event key is shared by all the underlying share classes should the event level be higher, i.e., Entity, Sicav, Sub-fund.
Event level	String (1)	E / S / G / F	E: The event is at a Fund Manager level. S: The event is at Company level (SICAV, FCP, OEIC…). G: The event is at a sub-fund level. F: The event is at a Share Class (ISIN) level.
Effective date	Date	YYYY-MM-DD	The effective date of the changes.
Comments (en)	String (700)		Additional comments to each event (eventkey) in English.
Comments (sp)	String (700)		Additional comments to each event (eventkey) in Spanish.
Comments (it)	String (700)		Additional comments to each event (eventkey) in Italian.
Free redemptions and/or conversion deadline date	Date	YYYY-MM-DD	Last date allowed to submit free redemptions/conversions orders.
Last nav date	Date	YYYY-MM-DD	Latest NAV applied to the calculation of the merger ratio.
Subscription deadline	Date	YYYY-MM-DD	Last date allowed to submit subscription orders when a fund comes into liquidation.
First dealing date	Date	YYYY-MM-DD	First dealing date in the fund after the event.
First nav date	Date	YYYY-MM-DD	First NAV post-split.
Suspension of dealing	Date	YYYY-MM-DD	First day of the dealing suspension.
New share class description	String (40)		New share class description.

Field name	Field Type	Permitted Values	Field Definition
New name	String (120)		New name description for the following event types: 3- Fund Redenomination 4- Change in Benchmark 5- Change of Investment Manager 7- Fund House Redenomination 8- Change of UCI name"
New long name	String (120)	"sub-funds name" + "Share class type" + "ClassCurrency" + "Distribution Policy"	New long name of the share class as per Allfunds naming convention (i.e., Carmignac Emerging Markets "A" (USD) ACC).
Fee type	String (4)	MGFE / MGFM / DIFE / DIFM / REFE/ SUFE / PEFE / ADFE / CUFE / SEFE / MNFE / OGFE / DEFE / AIFE / OTFE	MGFE: Applied Management Fee MGFM: Maximum Management Fee DIFE: Applied Distribution Fee DIFM: Maximum Distribution Fee REFE: Maximum Redemption Fee SUFE: Maximum Subscription Fee PEFE: Performance Fee ADFE: Administration Fee CUFE: Custodian Fee SEFE: Service Fee MNFE: Maintenance Fee OGFE: Ongoing Charge DEFE: Depositary Fee AIFE: All-in Fee OTFE: Fees other than the ones displayed above"
New fee (%)	Percentage value (Integer 3, Decimals 3)		New fee value i.e : 0.65 = 0.65% 1.3 = 1.3%"
New currency	String (3)	ISO code 4217	New currency assigned for the two following events: 54- Base currency change 9- Share class currency change"
New isin	String (12)		New ISIN code
Absorbing isin	String (12)		ISIN code of the Target fund involved in a merger.
Ratio (if available)	Double (Integer 5, Decimals 12)		"Exchange ratio that applies for the following two events: 13- Merger of Funds 17- Split"
Is the merger performed at umbrella level?	Boolean	Y / N	This field informs if the investment vehicle involved in the merger event ceases to exist or not, for potential tax implications.
Subscription deadline	Date	YYYY-MM-DD	The last date allowed to subscribe in the fund.

Field name	Field Type	Permitted Values	Field Definition
Redemptions deadline	Date	YYYY-MM-DD	The last day allowed for redemption
Type of minimum	String (5)	NEMII / NEMIP / NEMAI / NEMAP / NEMIM / NEMPA / NEMPI / NEMPP	NEMII: Minimum initial investment in amount NEMIP: Minimum initial investment in shares NEMAI: Minimum subsequent investment in amount NEMAP: Minimum subsequent investment in shares NEMIM: Maximum subscription in amount NEMPA: Maximum subscription in shares NEMPI: Minimum holding in amount NEMPP: Minimum holding in shares"
New value	Numeric (Integer 9, Decimals 6)		The numeric value of the TYPE OF MINIMUM. i.e: 1000.000000"
Amount currency	String (3)	ISO Code 4217	The currency of the new minimum investment
New legal structure	String (100)		FCP, SICAV, OEIC, Unit Trust, etc…
New transfer agent	String (400)		
New valuation frequency	String (1)	D / F / W / M / B / Q / 4 / H / Y	D: Daily F: Fortnightly W: Weekly M: Monthly B: Bimonthly Q: Quarterly 4: Every Four months H: Half-yearly Y: Yearly"
New dealing frequency	String (1)	D / F / W / M / B / Q / 4 / H / Y	D: Daily F: Fortnightly W: Weekly M: Monthly B: Bimonthly Q: Quarterly 4: Every Four months H: Half-yearly Y: Yearly"
Deregistration country affected	String (3)	ISO code 3166	The jurisdiction where the fund will no longer be registered for sale.

Field name	Field Type	Permitted Values	Field Definition
New distribution frequency	String (1)	D / F / W / M / B / Q / 4 / H / Y	D: Daily F: Fortnightly W: Weekly M: Monthly B: Bimonthly Q: Quarterly 4: Every Four months H: Half-yearly Y: Yearly"
New distribution dates	String (400)	DD-MM	"New dividend payment dates for distributing funds. Multiple dates will be split by ";". i.e: 21-03 ; 21-06 ; 21-09 ; 21-12"
New custodian	String (400)		New Custodian of the investment vehicle
New administrator	String (400)		New Administrator of the investment vehicle.
New management company	String (400)		New Management Company of the investment vehicle.
New sub investment manager	String (400)		New Sub-investment manager of the investment vehicle.
New investment advisor	String (400)		New Investment advisor of the investment vehicle.
New end of guaranteed period	Date	YYYY-MM-DD	The new maturity date of the fund.
New investment objective (spanish)	String (2000)		New Investment Objective of the sub-fund in Spanish
New investment objective (english)	String (2000)		New Investment Objective of the sub-fund in English
New investment objective (italian)	String (2000)		New Investment Objective of the sub-fund in Italian.
Cut off type	String (1)	S / R / B	"The COT change will affect the following transaction types: S: Subscriptions R: Redemptions B: Both In addition, this field will affect the following two events: 55 - Change in the Dealing Cut-off Time – This event will inform the new Cut-off at the Fund House. It may or may not affect Allfunds Cut-off time 59 - Early Cut-off Time – This event will inform the new Allfunds Cut-off time"
New cut off time	String (15)	HH:MM CET (notice period)	"55 - Change in the Dealing COT - Fund House COT 59 - Early cut-off – Allfunds COT (i.e. 15:00 CET (D-2))"

Field name	Field Type	Permitted Values	Field Definition
Type of settlement cycle	String (1)	S / R / B	"Transactions types affected by the change: S: Subscriptions R: Redemptions B: Both"
New settlement cycle	String (4)		Days required for the settlement of the order (i.e. D+3).
Distribution policy	String (1)	D /A	"D: Distribution A: Accumulation"
Publication date	Date	YYYY-MM-DD	The publication date of the Official Notice in Allfunds.
Meeting date/time	Date &Time	YYYY-MM-DD HH:MM	Date and Time of the shareholders' meeting
Time zone	String (10)		"Meeting hour time zone. i.e: CET / BST / GMT"
Meeting place	String (50) T		The physical address of the meeting.
Record date	Date	YYYY-MM-DD	Date determining the shareholders on record which are entitled to vote.
Allfunds proxy response deadline	Date	YYYY-MM-DD	Last date for returning the proxy to Allfunds.
Proxy response deadline	Date	YYYY-MM-DD	Last date for returning the proxy to Fund Manager.
Link to the official document	String (300)	URL	URL to the official documents in all available languages.
Scope	String (10)	Global / Singapore / Hong Kong	Indicates whether the official notification is intended for a specific market.
Memory back	Boolean	Y/N	Indicates whether the event is delivered to the client as a result of the process that captures all outstanding events for newly activated funds within its universe.
Product Codes	Integer String		Product codes that include the ISIN and have balance in shares. Each code is separated by the tilde "~"
Product Name	String		Product names associated to the aforementioned product codes. Each name is separated by the tilde "~"
Merger Type	String (1)	M/L/S	Transactions types affected by the change: M: Merger L: Liquidation in Kind S: Switch out/switch in.
Redemptions Deadline for Absorbing Fund	Date	YYYY-MM-DD	The last date allowed to redeem in the absorbing fund.
Long Name of the Fund	String (120)		The long name of the fund at an Isin code level.
Contract Codes	Integer String		Contract codes that include the ISIN and have balance in shares. Each code is separated by the tilde "~"

Field name	Field Type	Permitted Values	Field Definition
Decimals impacted	String (1)	N / S / B	Number of decimal places applied to: N= NAV S= Shares B: NAV and Shares
Number of decimals	Numeric (Integrer 2)	From 0 to 99	New number of decimals that will apply from the effective date

Dividends key terms

Field name	Field Type	Permitted Values	Field Definition
Isin	String (12)		International Securities Identification Number (ISIN) of the share-class (e.g. LU0000000000).
Holdings	Boolean	Y / N	Informs if the client has investments in the unit class. in the unit class. S/N in case of Spanish lenguage option.
Type	Boolean	ESTIMATED/CALENDAR/FINAL	(*) Estimated: Dividend with rate and Calendar=Y (**) Calendar: Dividend without informed ratio and Calendar=Y (***) Final: Dividend with rate and Calendar=N
Fund name	String (120)		Long name of the fund at an Isin code level.
INC /ACC	Boolean	INC / ACC	Informs whether the dividends has been distributed or accumulated.
Record date	Date	YYYY-MM-DD	The record date is the cut-off date established by a company in order to determine which shareholders are eligible to receive a dividend or distribution.
Ex date	Date	YYYY-MM-DD	Date when dividend is deducted from share classes' net asset value
Pay date	Date		Date of the dividend payment
Rate per share	Double (Integer 5, Decimals 12)		Amount of dividend paid out
Currency	String (3)		ISO code 4217
Gross / Net	Boolean	GROSS/NET	Type of rate per share distributed. GROSS= Dividend paid out before withholding taxes are deducted NET= Dividend paid out after withholding taxes are deducted
Dividend / Interest	Boolean	DIVIDEND/INTEREST	Depending on the underlying investments, income arising may be a dividend distribution or an interest distribution
Group 1 rate	Double (Integer 5, Decimals 12)		For funds with Equalisation: Portion of income that is under Group 1.
Group 2 rate	Double (Integer 5, Decimals 12)		For funds with Equalisation: Portion of income that is under Group 2.
Equalisation rate	Double (Integer 5, Decimals 12)		Portion of income that has been accumulated during the distribution period before units were purchased.
AFB ref.	Integer (10)		Allfunds reference number.

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